#### Graph-Based Data-Collection Policies for the Internet of Things

Maribel Fernández, Jenjira Jaimunk, Bhavani Thuraisingham

King's College London, University of Texas at Dallas

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#### Background

Problem:

The data collected can be used to improve services; however, there are serious privacy risks.

+ Addressed by means of privacy policies.

- Difficult to understand the scope and consequences of such policies.

Main contribution:

Demonstrating how to specify and visualise the CBDC policies using a graph-based policy language

Suggest how to analyse policy properties by provided queries and show how these queries can be answered

# Preliminaries: Category-Based metamodel for Data Collection CBDC

Recall:

Category: class, group, or domain, to which entities belong

Entities:

- A countable set  $\mathcal{D}ev$  of IoT devices  $d_1, d_2, \ldots$ : to represent data sources and channels; e.g. individual sensors, aggregators, clocks, etc.
- A countable set  $\mathcal{D}i$  of data items  $di_1, di_2, \ldots$ : to represent data emanating from sensors and also contextual information (such as location, time, identifier, etc.)
- A set A of actions: e.g., send, receive, block, encrypt, decrypt, etc.
- A countable set C of categories  $c_0, c_1, \ldots$
- A countable set S of services: to represent actual services or users that own/process data.

### Preliminaries: Category-Based metamodel for Data Collection CBDC

Axioms:

$$\begin{array}{ll} (dc1) & \forall d \in \mathcal{D}ev, \; \forall a \in \mathcal{A}, \; \forall di \in \mathcal{DI}_d, \\ & (\exists c,c' \in \mathcal{C}, (di,c) \in \mathcal{DICA}_d \land c \subseteq c' \land (a,c') \in \mathcal{ACA}) \\ & \Leftrightarrow (a,di) \in \mathcal{ADI}_d \end{array}$$

$$\begin{array}{ll} (dc2) & \forall d \in \mathcal{D}ev, \; \forall a \in \mathcal{A}, \; \forall di \in \mathcal{DI}_d, \\ & (\exists c,c' \in \mathcal{C}, (di,c) \in \mathcal{DICA}_d \land c' \subseteq c \land (a,c') \in \mathcal{BACA}) \\ & \Leftrightarrow (a,di) \in \mathcal{BADI}_d \end{array}$$

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$$\begin{array}{ll} (dc3) & \forall d \in \mathcal{D}ev, \; \forall a \in \mathcal{A}, \forall di \in \mathcal{DI}_d, \\ & ((a, di) \not\in \mathcal{ADI}_d \; \land \; (a, di) \notin \mathcal{BADI}_d) \\ & \Leftrightarrow (a, di) \in UNDET \end{array}$$

 $(dc4) \quad \forall d \in \mathcal{D}ev, \ \mathcal{ADI}_d \cap \mathcal{BADI}_d = \emptyset$ 

#### Policy Graph

A policy graph, or graph for short, is a tuple  $\mathcal{G} = (V, E, lv, le)$ , where V is a set of nodes, E is a set of undirected edges,  $lv: V \to \mathcal{REC}$  is a labelling function for nodes, such that, for every  $v \in V$ , lv(v).ent  $\in \mathcal{D} \cup \mathcal{D}i \cup \mathcal{C} \cup \mathcal{A} \cup \mathcal{S}$ , and  $le : E \to \mathcal{REC}$ is a labelling function for edges, such that, for every  $e \in E$  between nodes  $v_1$  and  $v_2$ , le(e).adj = { $v_1, v_2$ }, where  $v_1, v_2 \in V$  and  $v_1 \neq v_2$ . In addition, we assume that the record labels of nodes contain a field type = T, where  $T \in \{D, Di, C, A, S\}$ , such that lv(v).type = D if lv(v).ent =  $d \in D$  (that is, D is the type of the nodes representing devices), and similarly *Di* represents data items, C categories, S services and A actions. The type of an edge is determined by the type of its adjacent nodes, that is, if le(e).adj = { $v_1, v_2$ }, then type(e) = ( $lv(v_1)$ .type,  $lv(v_2)$ .type).

Figure below shows a path in a CBDC policy with five entities of types D (device), Di (data items), C (category), A (action) and S (service).



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#### Relations in a policy graph with prohibitions

•  $DDIA_{\mathcal{G}} = \{(lv(v_1).ent, lv(v_2).ent) \mid type(v_1, v_2) = DDi\}.$ 

- DDICA<sub>G</sub> = {(lv(v<sub>1</sub>).ent, lv(v<sub>2</sub>).ent, lv(v<sub>3</sub>).ent) | type(v<sub>1</sub>, v<sub>2</sub>, v<sub>3</sub>) = DDi, DiC}.
- ASCA<sub>G</sub> = {(*lv*(v<sub>1</sub>).ent, *lv*(v<sub>2</sub>).ent, *lv*(v<sub>3</sub>).ent) | type(v<sub>3</sub>, v<sub>1</sub>, v<sub>2</sub>) = CA<sup>A</sup>, AS}.
- BASCA<sub>G</sub> = {(*lv*(v<sub>1</sub>).ent, *lv*(v<sub>2</sub>).ent, *lv*(v<sub>3</sub>).ent) | type(v<sub>3</sub>, v<sub>1</sub>, v<sub>2</sub>) = CA<sup>B</sup>, AS}.

Relations in a policy graph with prohibitions[con.]

- $ASDID_{\mathcal{G}} = \{(lv(v_1).ent, lv(v_2).ent, lv(v_4).ent, lv(v_5).ent) | \exists v_{31}, \ldots, v_{3n} s.t. type(v_5, v_4, v_{31}, \ldots, v_{3n}, v_1, v_2) = DDi, DiC, (\overrightarrow{CC})^*, CA^A, AS\}.$
- $BASDID_{\mathcal{G}} = \{(lv(v_1).ent, lv(v_2).ent, lv(v_4).ent, lv(v_5).ent) \mid \exists v_{31}, \ldots, v_{3n} \ s.t. \ type(v_5, v_4, v_{31}, \ldots, v_{3n}, v_1, v_2) = DDi, DiC, (CC)^*, CA^B, AS\}.$
- $UNDET_{\mathcal{G}} = \{(lv(v_1).ent, lv(v_2).ent, lv(v_3).ent, lv(v_4).ent) \mid lv(v_1).type = D, lv(v_2).type = DI, lv(v_3).type = A, lv(v_4).type = S\} (ASDID_{\mathcal{G}} \cup BASDID_{\mathcal{G}}).$

# $\begin{array}{l} \textit{CBDC}_{\mathcal{G}} \text{ is an abbreviation for the tuple} \\ \langle \mathcal{E}, \textit{DDIA}_{\mathcal{G}}, \textit{DDICA}_{\mathcal{G}}, \textit{ASCA}_{\mathcal{G}}, \textit{ASDID}_{\mathcal{G}}, \textit{BASCA}_{\mathcal{G}}, \textit{BASDID}_{\mathcal{G}}, \\ \textit{UNDET}_{\mathcal{G}} \rangle \end{array}$

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## Example: Policy and path for a tanker tracking system in a chemical plant



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#### Example: Chemical Plant Policy



Policy content queries:

The objective of the policy content queries is to analyse policies by obtaining policy information at the same time as the query is established.

Here are some examples:

Are there (permitted or prohibited) actions assigned to each category?

This query can be answered by graph-theoretic methods when using graph policies, as follows:

All the categories have some associated (permitted or prohibited) actions if and only if for each node v of type C there is a path of type  $(\overrightarrow{CC})^*$ ,  $CA^A$ , AS or a path of type  $(\overleftarrow{CC})^*$ ,  $CA^B$ , AS starting in v.

Policy effect queries: Totality

A CBDC policy is total if, for every device d generating data items di, the policy specifies all the actions and services that are permitted on di and all the actions and services that are not permitted on di.

Policy effect queries: Totality [con.]

Assuming there is a well-formed policy graph  $\mathcal{G}$  representing the CBDC policy, totality can be verified by computing the relations  $ASDID_{\mathcal{G}}$  and  $BASDID_{\mathcal{G}}$  and checking that  $ASDID_{\mathcal{G}} \cup BASDID_{\mathcal{G}}$  contains all the relevant tuples from  $\mathcal{A} \times \mathcal{S} \times \mathcal{D}i \times \mathcal{D}$ .

A CBDC policy defined by a policy graph  $\mathcal{G}$  is total if and only if for all  $(di, d) \in \mathcal{D}i \times \mathcal{D}$ , if  $(a, s) \in \mathcal{A} \times \mathcal{S}$  applies to (di, d) then  $(a, s, di, d) \in ASDID_{\mathcal{G}} \cup BASDID_{\mathcal{G}}$ .

Policy effect queries: Consistency

A CBDC policy is consistent if, for any given data item, all relevant actions are either permitted or prohibited, but not both.

Consistency is enforced by axiom (*dc*4), that is, the policy graph satisfies  $ASDID_{\mathcal{G}} \cap BASDID_{\mathcal{G}} = \emptyset$ , which means that data collection policies defined by well formed graphs are consistent by construction.

Policy effect queries: Absence of Conflicts

The absence of rules that permit two mutually exclusive actions on a data item. If an action  $a_1$  performed by a service  $s_1$  is in conflict with an action  $a_2$  performed by  $s_2$  then the policy should ensure that if  $a_1$  is authorised then  $a_2$  is forbidden and viceversa.

Policy effect queries: Absence of Conflicts

Let  $\mathcal{G}$  be a well-formed policy graph. Assume an action  $a_1$  performed by a service  $s_1$  is in conflict with an action  $a_2$  by  $s_2$ . The policy graph  $\mathcal{G}$  ensures absence of conflict between  $a_1, s_1$  and  $a_2, s_2$  if for each kind of data item *di* generated by a device *d*, in the paths of type *DDI*, *DIC*,  $(\overrightarrow{CC})^*$ , *CA*, *AS* linking the nodes representing *d* and the nodes representing  $s_1$  and  $s_2$ , the set of values for the attribute ent in labels of nodes of type *A* in each path do not contain  $a_1$  at the same time as  $a_2$ .

#### Future work

- Policy combinations
- IoT-cloud architecture for performance analysis on larger scale applications.

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